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$PE \sum_{i=1}^{N}$			Application Numb r	09/875,690			
TRANSMITTAL			Filing Dat	June 6, 2001 Qiu, Xin			
15 2002 E	ORM		First Named Inv ntor	Qiu, Xin			
(to be used for all correspondence after initial filing)			Group Art Unit	2161			
			Examiner Name				
	s in This Submission	7	Attorney Docket Number	019388-000110US			
		ENCLO	OSURES (check all that apply)				
X Fee Transmittal Form		Assign	ment Papers Application)	After Allowance Communication to Group			
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	sponse	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final			n Routing Slip (PTO/SB/69) ccompanying Petition	Proprietary Information			
Affidavits/declaration(s)			n to Convert to a ional Application	Status Letter			
Extension of Time Request			of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request			al Disclaimer st for Refund	Return Postcard			
Information Disclosure Statement		CD, NL	umber of CD(s)				
Certified Copy of Priority Document(s)		Rema		s authorized to charge any additional fees to 1430.			
Response to Miss			.,				
Response to Parts under 3 1.52 or 1.53	Missing						
	SIGNA	TURE OF	APPLICANT, ATTORNEY,	OR AGENT			
Firm	Townsend and To	wnsend and					
and Individual name	William F. Vobach Reg. No. 39,411						
Signature	William	- F. Vo	back				
Date	November 29, 200	1		,			
		CE	RTIFICATE OF MAILING				
nereby certify that the ass mail in an envel	nis correspondence i lope addressed to: A	s being depo ssistant Com	sited with the United States Pon missioner for Patents, Washing	stal Service with sufficient postage as first gton, D.C. 20231 on this date: November 29, 2001			
yped or printed nam	e Kay Barclay		2 0				
Signature		~ 15	ARIVAIN	Date November 29, 2001			

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FEE TRANSMITTAL	Complete if Known							
6 TV 0004	Application Number			09	09/875,690			
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tent fees are subject to annual revision.	First	First Named Inventor			Qiu, Xin			
g from rees are subject to annual revision.	Examiner Name			\downarrow	2			
STE VOFNOR	Grou	Group Art Unit			61	, %		
TOTAL AMOUNT OF PAYMENT (\$) 0	Attorney Docket No. 019388-000110US				9388-000110US	200		
METHOD OF PAYMENT	FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. AE	DITIONA	L FEES		CALCULATION (continued)			
	Large Fee	Entity Fee				,		
Deposit Account 20-1430	Code	(\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Number	105	130	205	65	Surcharge - late filing fee or oath			
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Account Townsend and Townsend and Crew LLP Name	139	130	139	130	Non-English specification			
☐ Charge Any Additional Fee Required	147 112	2,520 920*	147 112	2,520 920*	a reducer for recognitionality			
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	113				Requesting publication of SIR prior to Examiner action			
See 37 CFR 1.27 2. Payment Enclosed:	4	1,840*	113	1,840	* Requesting publication of SIR after Examiner action			
☐ Check ☐ Credit card ☐ Money ☐ Other	115 116	110 400	215 216	55 200	Extension for reply within first month			
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BASIC FILING FEE Large Entity Small Entity	7		210	720	Extension for reply within fourth month	1		
Fee Fee Fee Fee Fee Description	128 119	1,960 320	228	980	Extension for reply within fifth month			
Code (\$) Code (\$) Fee Paid	120	320	219 220	160 160	Notice of Appeal			
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	121	280	221	140	Filing a brief in support of an appeal Request for oral hearing			
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use	\dashv		
108 740 208 370 Reissue filing fee	140	1 10	240	55	proceeding Petition to revive – unavoidable			
114 160 214 80 Provisional filing fee	141	1,280		640	Petition to revive – unintentional			
SUBTOTAL (1)	142	1,280	242	640	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES	143 144	460 620		230	Design issue fee			
Extra Fee from Fee	122	130		310 130	Plant issue fee Petitions to the Commissioner			
Otal Claims below Paid	123	50		50	Petitions related to provisional			
ndependent					applications Submission of Information Disclosure			
Claims X = X	126	180	126	180	Stmt			
fultiple ependent X = =	581	40	581 4	0	Recording each patent assignment per property (times number of			
Large Entity Small Entity Fee Fee Fee Fee	146	740	246 3	70	properties) Filing a submission after final rejection			
Code (\$) Code (\$) Fee Description	149	740	249 3	70	(37 CFR § 1.129(a)) For each additional invention to be			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3				. •	examined (37 CFR § 1.129(b))			
104 280 204 140 Multiple dependent claim, if not paid	179	740	279 3	70 F	Request for Continued Examination (RCE)			
109 84 209 42 ** Reissue independent claims over original patent	169	900	169 9		Request for expedited examination of a design application			
110 18 210 g ** Reissue claims in excess of 20 and over original patent	Other fee (specify)							
SUBTOTAL (2) (\$)	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
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**or number previously paid, if greater; For Reissues, see above					(\$)0			

SUBMITTED BY					
طه		T	Complete (if applicable)		
Name (Print/Type)	William F. Vobach	Registration No. (Attorney/Agent)	39,411	Telephone	303-571-4000
William F. Vobach				Date	November 29, 2001

Istent Commissioner for Patents D.C. 20231

Attorney Docket No.: 019388-000110US

SEND and TOWNSEND and CREW LL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xin Qiu David Reader B e noit J. Lheureux David Reader Benoit J. Lheureux

Application No.: 09/875,690

Filed: June 6, 2001

For: METHOD AND APPARATUS FOR ESTABLISHING GLOBAL TRUST BRIDGE FOR MULTIPLE TRUST

AUTHORITIES

Examiner:

Art Unit:

2161

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references , cited" on any patent to issue therefrom.

BEST AVAILABLE COPY

Xin Qiu
David Reader
B e noit J. Lheureux
David Reader
Benoit J. Lheureux
Application No.: 09/875,690

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Willia J. Volast

William F. Vobach Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

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